

A

03/18/98

# NEW UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
P/61212.USP/gptu11/JOG

Total Pages in this Submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

MA ALTERNATE ROUTEING

and invented by:

Timothy John HUNNEYBALL

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 9 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
  - a. ☐ Formal
  - b. ☒ Informal

Number of Sheets 1

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
P/61212.USP/gptu11/JOG

Total Pages in this Submission

**Application Elements (Continued)**

4. ☐ Oath or Declaration
- a. ☐ Newly executed (*original or copy*)      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
- c. ☐ With Power of Attorney      ☐ Without Power of Attorney
5. ☐ Incorporation By Reference (*usable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (*identical to computer copy*)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers (*cover sheet & document(s)*)
9. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
10. ☐ English Translation Document (*if applicable*)
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail (*Specify Label No.*): TB 733 045 27X US
15. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
P/61212.USP/gptu11/JOG

Total Pages in this Submission

**Accompanying Application Parts (Continued)**

16. ☐ Additional Enclosures (please identify below):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	1	- 20 =	0	x \$22.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$82.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$790.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$790.00

- ☒ A check in the amount of \$790.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 11-1145 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☐ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: March 18, 1998



Signature

Alan Israel, Esq.  
Registration No. 27,564  
Kirschstein, Ottinger, Israel & Schiffmiller, P.C.  
551 Fifth Avenue  
New York, New York 10176  
(212) 697-3750

CC:

MA ALTERNATE ROUTEING

## BACKGROUND OF THE INVENTION

## Field of the Invention

Synchronous Digital Hierarchy (SDH) equipment is the latest generation of equipment that is used to provide high bandwidth communications capabilities for use between telephone exchanges and in other areas where high quality telecomms is required (broadcast video distribution, etc). Embedded within the 'traffic' carrying capability of the equipment are data communications channels (DCCs). These channels constitute a datacomms network that uses Open Systems Interconnections (OSI) protocols.

Each piece of equipment constitutes a routeing node in the datacomms network formed by the data channels, and can operate any one of a number of different methods. The present invention is concerned with the interworking of two of the possible routeing methods.

The two routeing methods that will commonly occur in SDH networks are IS-IS (ISO 10589) and quasi-static routeing (where alternate routes may be chosen on link failure). Where this occurs, routeing loops, causing loss of comms, can be caused. The present invention detects the formation of a routeing loop and changes the behaviour of the IS-IS node accordingly.

## SUMMARY OF THE INVENTION

According to the present invention there is provided a Synchronous Digital Hierarchy (SDH) based communications network comprising a plurality of Intermediate Systems

(IS), the IS being divided between at least one IS-IS Area and at least one non-IS-IS Area, an IS-IS Area being an area within which a routeing protocol forming part of the Network Layer (Layer 3) of the Open Systems Interconnection including routeing (OIS), is provided for routeing messages between areas, a method is provided wherein static routes (Manual Adjacencies (MA) are created at IS within the IS-IS Area to point to routes to a group of one or more Network Equipments (NEs) within the non-IS-IS Area and where a failure occurs in a link to or within a group and messages from the IS-IS Area to the non-IS-IS Area are looped to the originating IS-IS Area, identification of the NEs from which messages have been looped are removed from the respective MAS allowing routeing of messages via alternative MAS.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will now be described by way of example, with reference to and as illustrated in the accompanying single figure which illustrates in part the connection between an IS-IS Routeing Domain and a non-IS-IS (static) Routeing Sub-Domain.

#### DETAILED DESCRIPTION OF THE INVENTION

The IS-IS routeing protocol is one of a set of 'link state' dynamic routeing protocols. These protocols automatically distribute routeing information round the datacomms network, allowing nodes to learn the required routeing information from the actual network. This provides the ability to automatically reconfigure, allowing routeing round network faults, in case of network link failure.

The IS-IS routeing protocol has two routeing levels, Level-1 and Level-2. See Figure

2 (from ISO 10589) for the use of these levels and the general environment of this protocol.

The present invention is also applicable to other datacomms scenarios, where a dynamic routing protocol is interworked with static routing, or a different dynamic protocol (e.g. OSPF and static routes, etc).

#### Reference:

ISO/IEC 10589 : 1992 (E)

Information technology - Telecommunications and information exchange between systems - Intermediate system to Intermediate system intra-domain routing information exchange protocol for use in conjunction with the protocol for providing the connectionless-mode Network Service (ISO 8473).

#### Glossary:

Area	An IS-IS Level 1 routing subdomain
ES	End System - these systems deliver NPDUs to other systems and receive NPDUs from other systems but do not relay NPDUs
IS	Intermediate System (a node where data may be routed on to another IS or to an End System (ES))
IS-IS	The IS-IS intra-domain routing protocol (see reference)
MA	Manual Adjacency
NE	Network Element
NPDU	Network Layer Protocol Data Unit

NSAP	Network Service Access Point (layer-3 address)
OSI	Open Systems Interconnection
SID	System ID - Part of the NSAP
<i>Router</i>	An IS running IS-IS

*Level 1 Intermediate Systems* deliver and receive NPDUs from other systems, and relay NPDUs from other source systems to other destination systems. They route directly to systems within their own area, and route towards a Level 2 Intermediate system when the destination system is in a different area.

*Level 2 Intermediate Systems* act as Level 1 Intermediate systems in addition to acting as a system in the subdomain consisting of Level 2 ISs. Systems in the Level 2 subdomain route towards a destination area, or another routing domain.

References to the routing of NPDUs are made with regard to NPDUs destined for NSAPs residing on NEs in the non-IS-IS subdomain.

IS-IS is a dynamic, link-state, routing protocol, which can be included as part of the Network Layer (Layer-3) of the OSI Reference Model. For the purpose of this document, ISs running IS-IS will be termed *Routers*.

*Routers* can participate in two levels of routing:

Level-1 - For routing within an *Area*

Level-2 - For routing between *Areas*

Level 1 *Routers* provide the ability to enter static routes to allow Level-1 routing to non-IS-IS NEs to be used. This static route is termed a Manual Adjacency (MA) and may be used to point to a single NE, or a group of NEs. A Level-1 *Router*, with a configured MA, propagates the details of the MA within its Level-1 Link-State information. Thus all Level-1 *Routers* gain information about all MA's configured within the Level-1 subdomain.

When the MA is used to point to a group of NEs, routing failures in the non-IS-IS group, due to internal link failure in the group, can cause messages (NPDUs) to be looped back to the IS-IS NE containing the MA. This NE should then send the message back to the non-IS-IS group (from whence it came), causing a routing loop, and subsequent loss of communications (the NPDU will loop until its lifetime expires and it is deleted). A second connection from the IS-IS domain, to this group of non-IS-IS NEs would be of no benefit in this case.

In Figure 1:

1. Nodes 1 & 5 are IS-IS routers.
2. Nodes 2 - 4 are non-IS-IS routers.
3. MAs are used in nodes 1 & 5, each MA containing the System IDs of nodes 2 - 4.
4. The link between nodes 2 & 3 is broken.
5. The routing for nodes 2 - 4 is via node 1 due to *shortest path* routing in the IS-IS Domain.



In the existing situation under ISO 10589, routeing is from node 1 to node 4, node 2 returns the message to node 1, which then sends it back to node 2 due to the MA. The message continues looping between nodes 1 & 2 until its lifetime expires and is deleted.

5        *MA Alternate Routeing* provides resilience when MAs are used to route to groups of non-IS-IS NEs, allowing benefit from the use of multiple connections between the IS-IS domain and the group of non-IS-IS NEs. It achieves the resilience by controlling the state of the advertising of the Manual Adjacencies. On detection of the looping of  
10        messages due to a link break in the non-IS-IS group, the IDs of the NEs for which messages have been 'looped' are removed from the IS-IS Level-1 advertising process (link state information). This allows the MAs in the alternate interdomain connections to be selected instead.

15        Manual Adjacencies that point to groups of NEs contain a list of the System IDs (part of the overall NSAP) of the non-IS-IS NEs, the MA also contains the port to be used to reach these NEs. When a break occurs in the non-IS-IS group, a number of NEs may become unreachable and cause messages to be looped.

20        When a message (NPDU) is received on a port to which it will become routed (according to the MA), the System ID of the Destination NSAP of the NPDU is removed from the SID list of the MA. To do this, the MA will first be taken 'down' it will be taken back 'up' when the SID has been removed.

To avoid the MA "flapping up and down" as the unreachability is detected for each SID

in the MA, a Hold-Off timer (16-32s) is used. This allows the collection of all SIDs associated with the break, before the MA is taken down for the removal of the SIDs.

To allow the SID to be reinstated, automatically, after the recovery of the failure, the SID is reinstated on the expiry of a single, jittered, Recovery Timer (approx. 5 mins). This is only done, however, if no other route exists for the destination node, in the IS-IS domain.

The present invention is also applicable to other Link-State dynamic routing protocols, that use static routes to interwork with dissimilar routing protocols.

Referring again to Figure 1, with the present invention routing is from node 1 to node 4, the first time a message is sent back from node 2 to node 1 (due to the break), the loop is detected and the System ID of node 4 is removed from the MA in node 1. The change in the MA in node 1 will then be propagated to the IS-IS Level-1 subdomain. All the Level-1 routers in this AREA will then recalculate their routes. There will now be a route to node 4 via node 5. Messages sent to node 4 before the recalculation has taken place will probably be lost in the network. This is covered by the recovery mechanisms in upper layer protocols (layer-4 say).

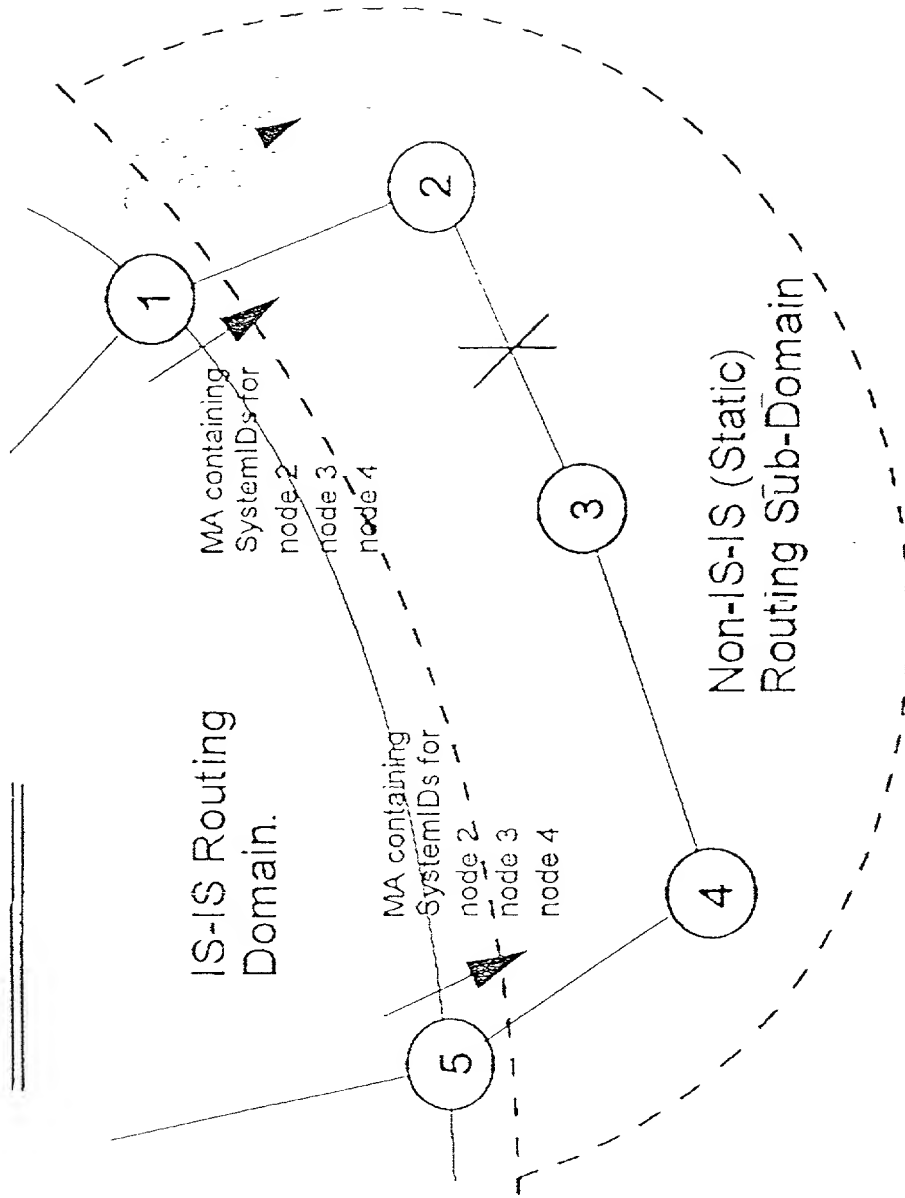
What I claim is:

1. In a Synchronous Digital Hierarchy (SDH) based communications network comprising a plurality of Intermediate Systems (IS), the IS being divided between at least one IS-IS Area and at least one non-IS-IS Area, an IS-IS Area being an area within which a routeing protocol forming part of the Network Layer (Layer 3) of the Open Systems Interconnection including routeing (OSI), is provided for routeing messages between areas, a method is provided wherein static routes (Manual Adjacencies (MA) are created at IS within the IS-IS Area to point to routes to a group of one or more Network Equipments (NEs) within the non-IS-IS Area and where a failure occurs in a link to or within a group and messages from the IS-IS Area to the non-IS-IS Area are looped to the originating IS-IS Area, identification of the NEs from which messages have been looped are removed from the respective MAs allowing routeing of messages via alternative MAs.

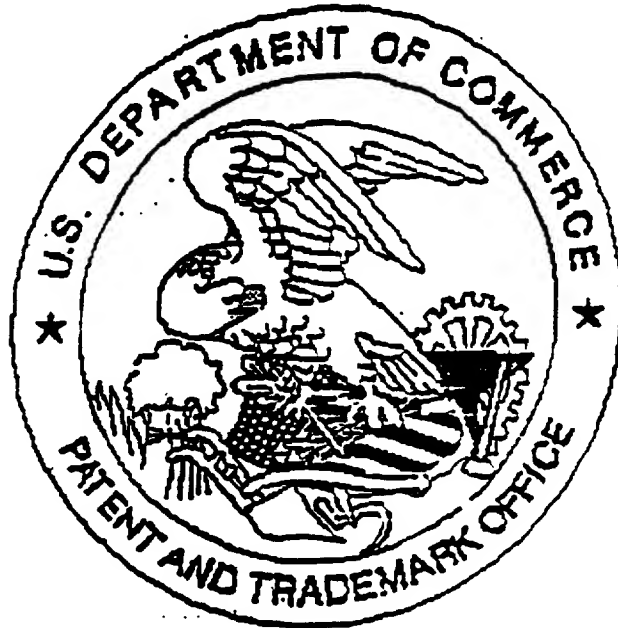
ABSTRACT OF THE DISCLOSURE

In a Synchronous Digital Hierarchy (SDH) based communications network comprising a plurality of Intermediate Systems (IS), the IS being divided between at least one IS-IS Area and at least one non-IS-IS Area, an IS-IS Area being an area within which a routing protocol forming part of the Network Layer (Layer 3) of the Open Systems Interconnection including routing (OSI), is provided for routing messages between areas, a method is provided wherein static routes (Manual Adjacencies (MA) are created at IS within the IS-IS Area to point to routes to a group of one or more Network Equipments (NEs) within the non-IS-IS Area and where a failure occurs in a link to or within a group and messages from the IS-IS Area to the non-IS-IS Area are looped to the originating IS-IS Area, identification of the NEs from which messages have been looped are removed from the respective MAs allowing routing of messages via alternative MAs.

FIGURE 1



United States Patent & Trademark Office  
Office of Initial Patent Examination – Scanning Division



Application deficiencies found during scanning:

1. Application papers are not suitable for scanning and are not in compliance with 37 CFR 1.52 because:
  - ☐ All sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11". Pages \_\_\_\_\_ do not meet these requirements.
  - ☐ Papers are not flexible, strong, smooth, non-shiny, durable, and white.
  - ☐ Papers are not typewritten or mechanically printed in permanent ink on one side.
  - ☐ Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").
  - ☐ Papers contain hand lettering.
2. Drawings are not in compliance and were not scanned because:
  - ☐ The drawings or copy of drawings are not suitable for electronic reproduction.
  - ☐ All drawings sheets are not the same size. Pages must be either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
  - ☐ Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
3. Page(s) \_\_\_\_\_ are not of sufficient clarity, contrast and quality for electronic reproduction.
4. Page(s) \_\_\_\_\_ are missing.
5. OTHER: No Declaration